

COURSE SYLLABUS FINANCIAL MONITORING

Degree of higher education: second (master's degree)

Specialty 073 Managements

Educational program: Financial and economic security of business entities

Course status – compulsory.

Instructor of the Department of Finance and Financial and Economic Security: Batrak O., Associate Professor

1. Course description

Semesters: 2.

Scope: total number of hours – 90, including: lectures – 12 hours, practical – 24 hours, independent work – 54 hours; number of ECTS credits – 3.

An aim of course: it is the acquisition by students of a system of special knowledge in the field of combating money laundering, terrorist financing and proliferation of weapons of mass destruction, practical skills of applying this knowledge in relevant areas of financial activities.

Learning outcomes of the course:

know: the need to combat the legalization of proceeds of crime and the financing of terrorism and the proliferation of weapons of mass destruction; stages of development of international relations in the field of combating money laundering and major international organizations in this field; the essence and typology of legalization of proceeds from crime; basic international norms in the field of combating money laundering and terrorist financing; essence and types of financial monitoring; the mechanism of functioning of the financial monitoring system in Ukraine; rights and responsibilities of financial monitoring entities; liability for violation of the law to prevent and combat money laundering or terrorist financing and proliferation of weapons of mass destruction;

be able to: identify financial transactions with signs of unusualness, doubt and others, which are aimed at using the financial institution in the scheme of legalization of proceeds from crime, or terrorist financing and proliferation of weapons of mass destruction;

have the skills to: apply the rules of legislation on financial monitoring and the fight against terrorist financing and the proliferation of weapons of mass destruction; risk assessment methodology for a financial institution - the subject of primary financial monitoring, which can be used for money laundering "Dirty" funds or terrorist financing and proliferation of weapons of mass destruction;

able to demonstrate: features of the organization of financial monitoring by various subjects of primary financial monitoring;

independently solve: problems of financial management; ethical dilemmas based on the rule of law, ethical principles and universal values.

Necessary educational components (prerequisites, co-requisites and post-requisites): methodology of modern scientific research with the basics of intellectual property; Business Foreign Language; security theory of social systems; comprehensive financial security; financial monitoring; change management.

The content of the discipline. Tema 1. Formation of a global system of financial monitoring. Tema 2. International anti-money laundering and anti-terrorist financing system. Tema 3. Typical schemes of money laundering, terrorist financing and the threat of proliferation of weapons of mass destruction. Tema 4. Typical domestic money laundering schemes. . Tema 5.

International regulations in the global system of financial monitoring. Tema 6. Domestic legal framework for combating money laundering, terrorist financing and proliferation of weapons of mass destruction. Tema 7. Organization of the fight against money laundering by individual subjects of primary financial monitoring in Ukraine.

Forms of final control: exam (semester 2).

Means of diagnostics of learning success: individual tasks (abstract), list of questions (for final control), set of test tasks (for final control).

Language of instruction: Ukrainian.

2. Assessment

Distribution of points received by applicants for higher education

Ongoing assessment and independent work							MK (test)	Exam	Total
T1	T2	T3	T4	T5	T6	T7			
7	12	7	12	15	16	11	10	10	100

Distribution of points is from discipline

Types of evaluation	T1	T2	T3	T4	T5	T6	T7	MC	Total
Execution and protection of practical work	3	3	3	3	3	3	3	-	21
Presentations	4	4	4	4	4	-	-	-	20
Abstract	-	-	-	-	24			-	24
Modular, current control	-	5	-	5	-	5	-	10	25
Exam	10								10
Total from the discipline									100

Exam evaluation criteria.

Task	Scores
Theoretical questions (2*1 point)	2
Test (6*0,5 point)	3
Problem (1*5 point)	5
Exam	10

Compliance with the scales for assessing the quality of learning material

Score on the national scale	Points	Assessment on the ECTS scale	Definition
excellent / passed	90-100	A	Excellent (outstanding performance without errors)
good / passed	82-89	B	Very good (above the average standard but with minor errors)
	74-81	C	Good (generally sound work with some errors)
satisfactory / passed	64-73	D	Satisfactory (fair but with significant shortcomings)
	60-63	E	Fair (performance meets the minimum criteria)
unsatisfactory / failed	35-59	FX	Fail (some more work required before the credit can be awarded)
	0-34	F	Fail (considerable further work is required)

3. Course policy:

3.1 Mandatory observance of academic integrity by students, namely:

- independent performance of all types of work, tasks, forms of control provided by the work program of this discipline;
- links to sources of information in the case of the use of ideas, developments, statements, information;
- compliance with the legislation on copyright and related rights;
- providing reliable information about the results of their own educational (scientific, creative) activities, used research methods and sources of information.

3.2 To obtain a positive grade in the discipline it is necessary to obtain a minimum number of points for each work (topic) and modular control.

3.3 In case of untimely presenting works the student is sent for re-taking.

3.4 Postponement of delivery / re-taking:

- for good reasons (sick leave, academic mobility, etc.) the work is submitted during the semester or at the end of the semester and is assessed according to the level of work performance;
- without good reasons, the student is sent for retaking with a minimum score.

3.5 When plagiarism is detected, it is returned for revision, and then re-assessed for minimum scores.

3.6 Missed classes are worked out according to the agreed schedule.

3.7 Assessment appeal is possible with a written request of the student on the day of the announcement of the final assessment results. Assessment appeal takes place at a meeting of the commission, headed by the head of the department.